

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

**AFFIDAVIT OF SERVICE**

STATE OF CONNECTICUT )  
 ) SS.:  
COUNTY OF MIDDLESEX )

ANGHARAD BOWDLER, being duly sworn, deposes and says:

1. I am employed as Director of Client Services by Epiq Corporate Restructuring, LLC, with its principal office located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 3, 2022, I caused to be served *a customized* “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated June 3, 2022, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Angharad Bowdler  
Angharad Bowdler

Sworn to before me this  
8<sup>th</sup> day of June, 2022

/s/ Amy E. Lewis

Notary Public, State of Connecticut  
Acct. No. 100624  
Commission Expires: 8/31/2027

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001  
SWITZERLAND

UBS AG  
ATTN: HUGO KOLLER, OQ9C/O5GC  
P.O. BOX  
ZURICH 8098  
SWITZERLAND

Please note that your claim # 5559233-70 in the above referenced case and in the amount of \$0.00 allowed at \$8,626.46 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000206234977 \*\*\*

LBH TRFNTC (ADDRESS2, ADRKEYID3) 34655



CREDIT SUISSE AG  
C/O KWJS&S  
ATTN: STEPHANIE SWEENEY  
200 WEST 41ST ST, 17TH FL  
NEW YORK, NY 10036

CREDIT SUISSE AG  
TRANSFEROR: UBS AG  
ATTN: TREVOR BROAD  
825 8TH AVE  
NEW YORK, NY 10019

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 61447 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/03/2022

Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 3, 2022.

## **EXHIBIT B**

Claim Name	Address Information
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CREDIT SUISSE AG, ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND
CHAN KAI CHI	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, FLAT C, FL1, HO ON BUILDING, 107-109 AUSTIN RD, TSIM SHA TSUI, KOWLOON HONG KONG
CREDIT SUISSE AG	TRANSFEROR: UBS AG, ATTN: TREVOR BROAD, 825 8TH AVE, NEW YORK, NY 10019
CREDIT SUISSE AG	C/O KWJS&S, ATTN: STEPHANIE SWEENEY, 200 WEST 41ST ST, 17TH FL, NEW YORK, NY 10036
HONGKONG AND SHANGHAI BANKING CORP LTD SINGAPORE	BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
LONDON INTERNATIONAL CAPITAL LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORP LTD SINGAPORE, ATTN: MARCUS VON THIELE, 20-22 WENLOCK ROAD, LONDON N1 7GU UNITED KINGDOM
LUSSICH, SANTIAGO	TRANSFEROR: UNION BANCAIRE PRIVEE, UBP SA, A/O MARIA RACHETTI, MISIONES 1371, PISO 4, 11000 MONTEVIDEO URUGUAY
SAWLANI, AYESHA SURESH	TRANSFEROR: BANK JULIUS BAER & CO. LTD., PLOT 20, 6A STREET, SATWA BEHIND DIYAFAH ROAD, PO BOX 53944, DUBAI UNITED ARAB EMIRATES
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/05GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: RBS COUTTS BANK AG, 96 98 RUE DU RHONE, GENEVA 1211 SWITZERLAND

**Total Creditor Count 12**